


केनरा बैंक Canara Bank   
सिंडिकेट Syndicate

REQUEST FOR EXPRESSION OF INTEREST

FOR

EMPANELMENT OF IT / CYBER SECURITY AUDITORS & FORENSIC  
ANALYST

Issued by: Canara Bank,  
Procurement Group,  
Department of Information Technology Wing,  
1<sup>st</sup> Floor, Naveen Complex,  
14, M G Road,  
Bengaluru - 560 001.  
Email : [hoditapm@canarabank.com](mailto:hoditapm@canarabank.com)  
Phone No: 080-25590070



Bid Details in Brief Description

Sl. No.	Description	Details
1.	EOI No. and Date	EOI 02/2021-22 dated 21/06/2021
2.	Name of the Wing	Department of Information Technology
3.	Brief Description of the EOI	Request for Expression of Interest for Empanelment of additional IT/Cyber Security Auditors & Forensic Analyst
4.	Bank's Address for Communication and Submission of Tender	Deputy General Manager Canara Bank, Procurement Group, DIT Wing, 1 <sup>st</sup> Floor, Naveen Complex, 14, MG Road, Bengaluru -560 001  Senior Manager, Procurement Group Tel - 080-25590070, 25584873 Fax- 080-25596539 Email: <a href="mailto:hoditapm@canarabank.com">hoditapm@canarabank.com</a>
5.	Date of Issue of EOI	21/06/2021, Monday
6.	Tender Fee (Non Refundable)	NA (Bidder has to submit declaration as per Annexure-10)
7.	Earnest Money Deposit (Refundable)	NA (Bidder has to submit declaration as per Annexure-10)
8.	Last Date and Time for Submission of Queries for Pre Bid Meeting	28/06/2021, Monday, 3.00 PM
9.	Date of Pre Bid Meeting	29/06/2021, Tuesday, 3.30 PM
10.	Last Date and Time for Submission of Bids	22/07/2021, Thursday up to 3.00 PM
11.	Date of Opening of Bid	22/07/2021, Thursday at 3.30 PM
12.	Venue for Prebid Meeting and Bid Opening	Canara Bank, DIT Wing, Conference Hall, II Floor, Naveen Complex, 14 M.G Road, Bengaluru - 560001.
<p>This document can be downloaded from following websites  <a href="https://www.canarabank.com/User_page.aspx?cid=110">https://www.canarabank.com/User_page.aspx?cid=110</a> and  <a href="https://eprocure.gov.in/epublish/app">https://eprocure.gov.in/epublish/app</a>.                      Any amendments, modifications, Prebid replies and any communication etc., will be uploaded in the Bank's website only (i.e., <a href="https://www.canarabank.com/User_page.aspx?cid=110">https://www.canarabank.com/User_page.aspx?cid=110</a>). No individual communication will be sent to the bidders.</p>		



Disclaimer

The information contained in this Expression of Interest ("EOI") document or information provided subsequently to bidders or applicants whether verbally or in documentary form by or on behalf of Canara Bank (or Bank), is provided to the bidder(s) on the terms and conditions set out in this EOI document and all other terms and conditions subject to which such information is provided. This EOI document is not an agreement and is not an offer or invitation by Canara Bank to any parties other than the applicants who are qualified to submit the bids (hereinafter individually and collectively referred to as "Bidder" or "Bidders" respectively). The purpose of this EOI is to provide the Bidders with information to assist the formulation of their proposals. This EOI does not claim to contain all the information each Bidder require. Each Bidder may conduct its own independent investigations and analysis and is free to check the accuracy, reliability and completeness of the information in this EOI. Canara Bank makes no representation or warranty and shall incur no liability under any law, statute, rules or regulations as to the accuracy, reliability or completeness of this EOI. The information contained in the EOI document is selective and is subject to updating, expansion, revision and amendment. It does not purport to contain all the information that a Bidder require. Canara Bank does not undertake to provide any Bidder with access to any additional information or to update the information in the EOI document or to correct any inaccuracies therein, which may become apparent.

Canara Bank reserves the right of discretion to change, modify, add to or alter any or all of the provisions of this EOI and/or the bidding process, without assigning any reasons whatsoever. Such change will be published on the Bank's Website [https://canarabank.com/User\\_page.aspx?cid=110](https://canarabank.com/User_page.aspx?cid=110) and it will become part and parcel of EOI.

Canara Bank in its absolute discretion, but without being under any obligation to do so, update, amend or supplement the information in this EOI. Canara Bank reserves the right to reject any or all the expression of interest / proposals received in response to this EOI document at any stage without assigning any reason whatsoever. The decision of Canara Bank shall be final, conclusive and binding on all the parties.



## Abbreviations used in this Document

Sl.No.	Abbreviation	Description
1.	AMC	Annual Maintenance Contract
2.	ATS	Annual Technical Support
3.	CBS	Core Banking Solution
4.	CVC	Central Vigilance Commission
5.	DC	Data Centre
6.	DD	Demand Draft
7.	DIT	Department of Information Technology
8.	DRC	Disaster Recovery Centre
9.	EOI	Expression of Interest
10.	IFSC	Indian Financial System Code
11.	IT	Information Technology
12.	NEFT	National Electronic Fund Transfer
13.	NI ACT	Negotiable Instrument Act
14.	PAN	Permanent Account Number
15.	RFP	Request for Proposal
16.	RFQ	Request for Qualification
17.	RTGS	Real Time Gross Settlement
18.	SOC	Security Operation Centre
19.	DISA	Diploma in Information System Audit
20.	CISA	Certified Information Systems Auditor
21.	CISM	Certified Information Security Manager
22.	CISSP	Certified Information Systems Security Professional
23.	PCIDSS	Payment Card Industry Data Security Standard
24.	CEH	Certified Ethical Hacker
25.	ISO	International Organization for Standardization
26.	COBIT	Control Objectives for Information and Related Technologies
27.	CCNA	Cisco Certified Network Associate
28.	CCNP	Cisco Certified Network Professional
29.	CHFI	Computer Hacking Forensic Investigator
30.	GIAC	Global Information Assurance Certification
31.	CRISC	Certified in Risk and Information Systems Control
32.	SSCP	Systems Security Certified Practitioner
33.	ECSA	EC-Council Certified Security Analyst
34.	ECIH	EC-Council Certified Incident Handler
35.	CRISC	Certified In Risk and Information Systems Control

**LIST OF CONTENTS**

Sl. No	Details	Sl. No	Details
1	About Canara Bank	13	Amendment to EOI
2	Definitions	14	Preparation of Bids
3	About EOI	15	Erasures or Alterations
4	Objective	16	Submission of Bids
5	Eligibility Criteria	17	Bid Opening
6	Scope of Empanelment	18	Evaluation of EOI
7	Empanelment Procedure	19	Clarifications of Offers
8	De-empanelment of Bidders	20	Modification/Cancellation of EOI
9	Scope of Work of IT Audit Services	21	Responsibility for Completeness
10	Conflict of Interest	22	Intimation to successful Bidders
11	Pre bid queries	23	Issuance of RFP
12	Pre bid Meeting	24	Details of Independent External Monitors

Sl. No	ANNEXURES
1)	Checklist
2)	Bid Covering Letter Format
3)	Eligibility Criteria declaration
4)	Applicant's Profile
5)	Authorization Letter Format
6)	List of major customers
7)	Office Details
8)	Compliance Statement
9)	Scope of Work of IT Audit Services
10)	Tender Fee & Bid Security Declaration

## 1. About Canara Bank

- 1.1. CANARA BANK is a fourth largest public sector bank owned by Government of India. Canara Bank is a body Corporate and a premier Public Sector Bank established in the Year 1906 by Shri. Ammembal Subba Rao Pai and nationalized under the Banking Companies (Acquisition and Transfer of Undertakings) Act, 1970. Canara Bank Head office is located at 112, J C Road Bengaluru-560002 and Department of Information Technology wing located at Naveen Complex, No.14, M G Road, Bengaluru-560001.
- 1.2. The Bank is having pan India presence of more than 10391 branches, 24 Circle offices and 176 Regional Offices situated across the States. The bank also has offices abroad in London, Hong Kong, Moscow, Shanghai, Dubai, Tanzania and New York. As per the announcement made by the Department of Finance Services on 30 August 2019, Manipal based Syndicate Bank has been merged from 01 April 2020.
- 1.3. The Bank is a forerunner in implementation of IT related products, services, and continuously making efforts to provide the state of art technological products to its customers.

## 2. Definitions:

- 2.1. 'Bank' means, unless excluded by and repugnant to context or the meaning thereof, shall mean 'Canara Bank', described in more detail in paragraph 1 above and which has invited bids under this Expression of Interest (EOI) and shall be deemed to include its successors and permitted assigns.
- 2.2. 'EOI' means this Expression of Interest for Empanelment of Security Auditors for our Bank.
- 2.3. The firms, institutions & companies submitting the proposal in response to this EOI shall hereinafter be referred to as 'Bidder'.

## 3. About EOI

- 3.1. Bank has empaneled Cert-In certified Security Auditors & Forensic Analyst for technical audit services for IT / Cyber Security & Forensic Analyst for the period 01/04/2021 till 31/03/2024. Now, Cert-In has updated the Information Security auditing organization by including additional IT/Cyber Security Auditors. Bank intends to empanel additional Security Auditors & Forensic Analyst who can provide suitable and appropriate technical audit services for IT / Cyber Security & Forensic Analyst. This empanelment is addition to the existing list selected by Canara Bank through EOI 02/2020-21 dated 21/11/2020.
- 3.2. The EOI document is not a recommendation or invitation to enter the contract, agreement or any other arrangement in respect of the services. The provision of the services is subject to compliance to selection process and appropriate documentation being agreed between the bank and selected vendors as identified by the bank after completion of the selection process.

**4. Objective:**

- 4.1. Canara Bank invites application from reputed Bidders to submit their “Expression of Interest” who fulfills the eligibility criteria as given in **Clause 5** for empanelment of Security Auditors for Information Technology/Cyber Security of ICT infrastructure of CANARA BANK.
- 4.2. The bidders satisfying the Eligibility Criteria as per the EOI and having experience in IT / Cyber Security Audit services may respond.

**5. Eligibility Criteria**

- 5.1. Interested Bidders, who meet the Eligibility Criteria as per Annexure-3 may respond.

**6. Project Scope of Empanelment:**

Broadly the audits are conducted in view of applicable Regulatory requirements/ Industry best practices/ Bank’s internal policies as relevant to existing environment/ ISO 27001/ PCI DSS/ OWASP standards and other national/ international standards that are applicable to the Audit that is being conducted. Methodologies/ Tools used should be industry approved, preferably those meeting the requirements of specific relevant standards. Since every security audit has the purpose of assurance on the level of Information/Cyber Security preparedness, every audit should invariably consider the existing risk profile for each of the assets that are being audited, the controls available and deficiencies, the same should be documented along with recommendations for corrections as well as suggestions for improvement.

- 6.1. Empanelment would be for Security Auditors for the below mentioned I.T. Audit services but not limited to:

- a. Vulnerability Assessment
- b. Penetration Testing
- c. Source Code Audit
- d. Application /web security Audit
- e. Ethical Hacking
- f. Forensic Audit
- g. Configuration Review Audit
- h. BCP / DR Preparedness / Readiness Audit
- i. Network Audit including Virtualization, wireless & Mobile Technologies
- j. Database Audits / Migration Audit
- k. Switch/ATM Terminals Audit/ ATM Network Audit
- l. Comprehensive IT and IS Audits including Outsourced Activities and Third Party Audits.
- m. Comprehensive cyber Security Audit
- n. Any other activity/audit as decided by the Bank during the empanelment period.

- 6.2. Empanelment would be for Forensic Analyst for conducting the analysis based on the scope.

- 6.3. Geographical scope of project: Canara Bank DC/DR/Near site (Bengaluru/Mumbai).

- 6.4. Empanelment would be for Three (3) years till 31/03/2024 and is subjected to annual review. However, the Bank reserves the right to cancel or extend the validity period of empanelment. Bank's decision will be final in this regard.
- 6.5. During Empanelment period, Bank will float limited tenders amongst the qualified empanelled bidders and seek responses for various requirements. Individual tender/s will contain detailed terms and conditions, instructions, location details and scope of work. Such limited tenders shall be floated by Bank. Selected Bidder to submit KYC document.
- 6.6. Bank at its own discretion may not call a vendor for a particular audit in case of conflict of interest. For ex. Vendor who has conducted VAPT, source code audit and Application audit may not be called for Forensic Audit.
- 6.7. Bank at its own discretion may not call a vendor for a particular audit in case if the same audit is previously carried out by the same vendor. For ex. Bank may not call a vendor who has previously conducted VAPT services in the Bank.

## 7. Empanelment Procedure:

The IT Auditors & Forensic Analyst will be empaneled as per the following process:

- 7.1. IT / Cyber Security Auditors satisfying the eligibility criteria will be short listed after due scrutiny of documents submitted by the bidder.
- 7.2. All the shortlisted intending bidders have to make a presentation before a panel of Bank Officials at the discretion of the Bank. The date of presentation shall be intimated to the short listed bidders in advance.
- 7.3. Based on the documents submitted, and the presentations made and the expertise, the panel shall select the Security Auditors & Forensic Analyst for empanelment.

## 8. De-empanelment of bidders:

- 8.1. During empanelment period, the Bank reserves the right to de-empanel any vendor. The Bank's decision will be final in this regard.
- 8.2. Bank should retain with themselves the authority to blacklist or bar a bidder for a specified period of the time from participating in its procurement process where the Bank has authentic information the bidder has been debarred from participating in the procurement process by a foreign country, international organization or by a local organization on ground of fraud or corruption or for some other reason which, in the opinion of the Bank is not compatible with its procurement policy and ethical standard.
- 8.3. If the service provided by the vendor is found to be unsatisfactory or if at any time it is found that the information provided for empanelment or for any tender is false or if irregularities shown by the vendor when applying for the tenders, the Bank reserves the right to remove such Bidders from the empanelled list without giving any notice to the vendor in advance.
- 8.4. Empanelled Vendors not submitting their response continuously for Three (3) limited tenders may be de-listed from our empanelment list at the discretion of

the Bank. However, those services which are not provided by the bidder at the time of empanelment will not be counted.

**9. Scope of Work of IT Audit Services:**

- 9.1. The Brief Scope of Work for the Thirteen (13) Services is detailed in Annexure-9.
- 9.2. Bank will float limited tenders amongst the qualified empanelled vendors and seek responses for various requirements. Individual tender/s will contain detailed terms and conditions, instructions, location details and detailed scope of work. Such limited RFP/RFQ shall be floated by Bank.

**10. Conflict of Interest:**

- 10.1. The bidders shall not receive any remuneration in connection with the assignment except as provided in the contract. The bidders and its affiliates shall not engage in auditing or other activities that conflict with the interest of the employer under the contract.
- 10.2. Participation by IT/ Cyber Security Auditors with a conflict of interest situation will result in the disqualification.

**11. Pre-Bid Queries:**

- 11.1. The bidder should carefully examine and understand the scope and terms and conditions of EOI and may seek clarifications, if required. The bidders in all such cases seek clarification in writing in the same serial order of that of the EOI by mentioning the relevant page number and clause number of the EOI.
- 11.2. All communications regarding points requiring clarifications and any doubts shall be given in writing to the Deputy General Manager, Canara Bank, DIT Wing, HO (Annexe), 14, M G Road, Bengaluru - 560 001 or an email can be sent to [dittenders@canarabank.com](mailto:dittenders@canarabank.com) by the intending bidders before the date and time specified in the Bid details in brief description.
- 11.3. No queries will be entertained from the bidders after the cut-off date and time.
- 11.4. No oral or individual consultation shall be entertained.

**12. Pre-Bid Meeting:**

- 12.1. A pre-bid meeting of the intending bidders will be held as per schedule specified in the Bid details in brief description to clarify any point/doubt raised by them in respect of this EOI.
- 12.2. No separate communication will be sent for this meeting. If the meeting date is declared as a holiday under NI Act by the Government subsequent to issuance of EOI, the next working day will be deemed to be the pre-bid meeting day. Authorized representatives of interested bidders shall be present during the scheduled time. In this connection, Bank will allow a maximum of TWO (2) representatives from each Bidder to participate in the pre-bid meeting.

- 12.3. Bank has the discretion to consider any other queries raised by the bidder's representative during the pre-bid meeting.
- 12.4. The Bank will consolidate all the written queries and any further queries during the pre-bid meeting and the replies for the queries shall be made available in the Bank's website and no individual correspondence shall be made. The clarification of the Bank in response to the queries raised by the bidder/s, and any other clarification/ amendments/corrigendum furnished thereof will become part and parcel of the EOI and it will be binding on the bidders.
- 12.5. Non receipt of reply to the queries raised by any of the Bidders shall not be accepted as a valid reason for non submission of Bid. In addition, non reply to any query may not be deemed the version of the Bidder as reflected in the query has been accepted by the Bank.

### 13. Amendment to EOI:

- 13.1. At any time prior to deadline for submission of Bids, the Bank, for any reason, whether, at its own initiative or in response to a clarification requested by prospective bidder, may modify the bidding document, by way of an amendment.
- 13.2. Notification of amendments will be put up on the Bank's website (www.canarabank.com) and will be binding on all bidders and no separate communication will be issued in this regard.
- 13.3. In order to allow prospective bidders reasonable time in which to take the amendment into account in preparing their bids, the Bank, at its discretion, may extend the deadline for a reasonable period as decided by the Bank for the submission of Bids.

### 14. Preparation of Bids:

- 14.1. All bids and supporting documents shall be submitted in English and on A4 size paper, spirally bound securely and in serial order. The response should be submitted in a structured format as per the checklist appended.
- 14.2. All pages of EOI should be stamped and signed by Authorized Signatory of the Bidder. All pages of the bid document should be serially numbered and shall be signed by the authorized person/s only. The person/s signing the bid shall sign all pages of the bid and rubber stamp should be affixed on each page. The bidder should submit a copy of Board Resolution or power of attorney document showing that the signatory has been duly authorized to sign the bid document.
- 14.3. The Conformity to Eligibility Criteria should be complete in all respects and contain all information sought for, as per Annexure 1.

### 15. Erasures or Alterations:

The Offers containing erasures or alterations or overwriting will not be considered. There should be no hand-written material, corrections or alterations in the offer. Technical details must be completely filled in. Correct technical information of the product being offered must be filled in. Filling up of the information using terms such as "OK", "accepted", "noted", "as given in brochure/manual" is not acceptable. The

Bank may treat such Offers as not adhering to the tender guidelines and as unacceptable.

## 16. Submission of Bids

- 16.1. The sealed envelope containing the response to EOI along with the required documents shall be superscribed on the top of the envelope "Expression of Interest for Empanelment of Security Auditors & Forensic Analyst" in response to EOI 02/2021-22 dated 21/06/2021. The Name and address of the bidder should also be specifically mentioned on the top of the sealed envelope. The EOI response should be deposited in the Tender Box at the Place, Venue, Date and Time mentioned in the Bid details in brief description.
- 16.2. If the last day for submission of bids is declared as a holiday under NI Act by the Government subsequent to issuance of EOI, the next working day will be deemed to be the last day for submission of the EOI. The Bid/s which is/are deposited after the said date and time shall not be considered.
- 16.3. Bids sent through post/courier will not be accepted/evaluated. No offer will be accepted directly.
- 16.4. If envelope containing bid documents is not sealed and marked in the prescribed manner, the Bank will assume no responsibility for the bid's misplacement or premature opening.
- 16.5. The following officials will facilitate in bid related queries and make arrangements for deposit of bid documents.

First Official	Alternate Official
<b>Mr. Prabhakar Nayak</b> Senior Manager, Canara Bank Procurement Group, DIT Wing, First Floor, Naveen Complex, 14 M G Road, Bengaluru - 560 001. Tel - 080 25590070	<b>Mr. Nagendra Kumar M</b> Divisional Manager, Canara Bank Procurement Group, DIT Wing, First Floor, Naveen Complex, 14 M G Road, Bengaluru - 560 001. Tel - 080 25590832

- 16.6. In case bid documents are too bulky to be placed inside the tender box, arrangements will be made by the above mentioned officials to receive the tender. However, bidder should reach the venue before the date and time stipulated in the Bid details in brief description.
- 16.7. The bidder shall bear all costs associated with the preparation of and submission of the bid including cost of preparation/presentation etc. The Bank will not be responsible or liable for these costs, regardless of the conduct or outcome of the bidding process.

## 17. Bid Opening

- 17.1. EOI will be opened in the presence of the Bidder's representative/s who may choose to attend the bid opening as per schedule specified in the Bid details in brief description.

- 17.2. Bidder's representative may be present in the place and venue well in time along with an authorization letter in hand for each bid opening under this EOI, as per the format (Annexure 5) enclosed and sign in Register of Attendance during opening of EOI.

Note: Authorization letter should be carried in person and shall not be placed inside in any of the bid covers.

- 17.3. If any of the bidders or all bidders who submitted the tender are not present during the specified date, time and venue of opening, it will be deemed that such bidder is not interested to participate in the opening of the Bid/s and the bank at its discretion will proceed further with opening of the EOI in their absence.
- 17.4. The Bidders may note that no further notice will be given in this regard. Further, in case the bank does not function on the aforesaid date due to unforeseen circumstances or holiday, then the bid will be accepted up to 3.00 PM on the next working day and bids will be opened at 3:30 PM at the same venue on the same day.

## 18. Evaluation of EOI

- 18.1. The Bank will evaluate the bid/s submitted by the bidder/s under this EOI by the officers of the bank. The Bank may engage an external agency for evaluation of the bid. It is Bank's discretion to decide at the point of time.
- 18.2. The Bank will scrutinize the Bid/s received to determine whether they are complete in all respects as per the requirement of EOI, whether the documents have been properly signed and whether items are offered as per EOI requirements, whether technical documentation as required to evaluate the offer has been submitted. The Bank may, at its discretion, waive any minor non-conformity or any minor irregularity in the bid which does not constitute a material deviation. Bank's decision with regard to 'minor non-conformity' is final and the waiver shall be binding on all the bidders and the Bank reserves the right for such waivers.
- 18.3. EOI submitted by the bidder will be evaluated based on the documents mentioned in Annexure 1. Bidders who will qualify from Eligibility Criteria Evaluation will be empaneled. The short listed applicants will be notified in due course. Only shortlisted applicants will be invited to participate in the tender/RFP. No interim enquiries will be entertained. The decision taken by the Bank shall be final and no representation or correspondence shall be entertained.

## 19. Clarifications Of Offers

- 19.1. During the process of scrutiny, evaluation and comparison of offers, the Bank may, at its discretion, seek clarifications from all the bidders/any of the bidders on the offer made by them. The request for such clarifications and the Bidders response will necessarily be in writing and it should be submitted within the time stipulated by the Bank.
- 19.2. The Bank may go through a process of evaluation and normalization of the bids to the extent possible and feasible, to ensure that shortlisted bidders are more

or less on the same footing by seeking incremental bid submission in part of the requested clarification by the Bank OR Revised submissions of the entire bid in the whole.

- 19.3. The Bank can repeat this normalization process at every stage of bid submission till Bank is satisfied. The shortlisted bidders agree that, they have no reservation or objection to the normalization process and all the technically shortlisted bidders will, by responding to this EOI, agree to participate in the normalization process and extend their co-operation to the Bank during this process.
- 19.4. The shortlisted bidders, by submitting the response to this EOI, agree to the process and conditions of the normalization process.

## 20. Modification/Cancellation of EOI

- 20.1. The EOI is not an offer by Canara Bank but an invitation to get the response from the interested bidders for short listing the bidders for Bank's requirements. No contractual obligations whatsoever shall arise from the Expression of Interest process.
- 20.2. The Bank reserves the right to cancel EOI process at any time, without thereby incurring any liabilities to the affected bidder[s]. Reasons for cancellation, as determined by the Bank in sole discretion include but are not limited to, the following:
- Services contemplated are no longer required
  - Change in the scope of work or due to unforeseen circumstances and/or factors and or/ or new developments
  - The project is not in the best interest of the Bank
  - Any other reason
- 20.3. The Bank also reserves the right to modify/cancel/re-tender without assigning any reasons whatsoever. The bank shall not incur any liability to the affected bidder(s) on account of such rejection. Bank shall not be obliged to inform the affected bidder(s) of the grounds for the Bank's rejection.

## 21. Responsibility for completeness:

- 21.1. The Bidder shall be responsible for any discrepancies, errors and omissions in the bid, or other information submitted by him irrespective of whether these have been approved, reviewed or otherwise accepted by the Bank or not. The Bidder shall take all corrective measures arising out of discrepancies, error and omissions in the bid and other information as mention above within the time schedule.
- 21.2. Willful misrepresentation of any fact within the Bid will lead to the disqualification of the Bidder without prejudice to other actions that Bank may take. All the submission, including any accompanying documents, will become property of Canara Bank.

21.3. The Bank reserves the right to verify the validity of bid information and to reject any bid where the contents appear to be incorrect, inaccurate or inappropriate at any time during the process of EOI or even after the award of contract.

**22. Intimation to the selected Bidders:**

The Bank will prepare the list of Bidders on the basis of evaluation. The short listed applicants will be notified on the Bank's website (www.canarabank.com) /Notice Board. No separate intimation will be sent to individual Bidders.

**23. Issuance of RFP/RFQ**

23.1. Only shortlisted applicants will be invited to participate in the limited RFP/RFQ Process. The shortlisted applicants will be provided with tender documents through E-mail or hand delivery. The vendors are required to respond accordingly.

23.2. No interim enquiries will be entertained. The decision taken by the Bank shall be final and no representation or correspondence shall be entertained.

23.3. Canara Bank reserves the right to accept / reject any or all expression of interest received in response to this advertisement without assigning any reasons, whatsoever.

23.4. The Bank may issue limited RFP/RFQ to the shortlisted bidders as part of EOI. The Bank reserves the right to issue limited RFP/RFQ based on the responses and the requirement of the Bank.

23.5. The Bank reserves the right to avail services independently on its own without reference to shortlisted bidders of EOI.

**24. Independent External Monitors:**

24.1. The Name and Contact details of the Independent External Monitor(IEM) nominated by the Bank are as under:

Sri. Rakesh Jain Email:rakeshjain18@hotmail.com	Sri. D R S Chaudhary Email: dilip.chaudhary@gmail.com
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DEPUTY GENERAL MANAGER

## Annexure 1

### CHECKLIST

The bidder shall confirm whether following are submitted in their bid. The bidder shall indicate the page no. where the details are furnished; otherwise, bid is liable for rejection.

Sl. No.	Details	Complied & Submitted (Yes/No)
1.	Covering Letter.	
2.	Three (3) Years Audited Balance sheet, P&L account and CA Certificate for the past three years i.e. 2017-18, 2018-19, 2019-20 should be enclosed.	
3.	The documents in support of Eligibility Criteria, wherever required as mentioned in this EOI.	
4.	Copy of Power of Attorney or Authorization letter from the Company designating the authorized representative of the company for signing the bid document should be furnished along with the bid document.	
5.	Applicants's Profile.	
6.	List of major clients and the quantum of orders with approximate value executed to various organizations including Major PSU Banks and other financial institutions for the last 3 years.	
7.	Compliance Statement	
8.	Authorization letter format for Bid Opening (to be carried by the person who is authorized to attend the Bid opening).	

Note: Failure to produce the necessary proof may render the applicant in-eligible for empanelment.

Sl. No.	Annexure-1: Other Clauses	Vendor Response [Yes/No]
1.	Whether Bidder has to submitted Declaration as per Annexure-10 in lieu of waiver of Tender fee & EMD?	
2.	Whether the Bid is authenticated by authorized person? Copy of Power of Attorney or Authorization letter from the company authorizing the person to sign the bid document to be submitted in Conformity to Eligibility Criteria?	
3.	Whether all pages are authenticated with signature and seal (Full signature to be affixed and not initials).Erasures / Overwriting / Cutting / Corrections authenticated Certification / Undertaking is authenticated?	
4.	Whether address of Office on which communication has to be placed is indicated in Annexure 4.	

5.	Whether ensured that the offer is in sealed envelope and superscribed as "Empanelment of IT/ Cyber Security Auditors", The EOI No., Name of the Bidder firm and Due date of the EOI is specified on the top of the envelope.	
6.	Whether ensured Indexing of all Documents submitted with page numbers?	

Bidders to verify the above checklist and ensure accuracy of the same before submission of the bid.

Checked for accuracy

Date

Signature with seal

Name :

Designation :

Note: The Authorization letter as per format Annexure 5 is to be carried in person and shall not be placed inside any of the bid covers.

**Bid Covering Letter Format**

(Covering Letter has to be submitted in company's letter head)

Offer Reference No:

Date: \_\_\_\_\_

To  
The Deputy General Manager,  
Canara Bank,  
Procurement Group,  
DIT, Naveen Complex, 14 M G Road,  
Bengaluru - 560 001, Karnataka

Dear Sir,

**Sub: Empanelment of additional IT/Cyber Security Auditors & Forensic Analyst.**

**Ref: EOI 02/2021-22 dated 21/06/2021.**

We have examined the above mentioned tender document including all annexures, the receipt of which is hereby duly acknowledged and subsequent pre-bid clarifications/modifications /amendments, if any, furnished by the Bank and we, the undersigned, offer to get short listed for Empanelment of IT/Cyber Security Auditors & Forensic Analyst with the said EOI.

The undersigned is authorized to sign on behalf of the Bidder Company and the necessary supporting documents delegating this authority is enclosed to this letter.

If our offer is accepted, we undertake to participate in the RFP/RFQ process to Security Auditors & Forensic Analyst for the below mentioned IT Audit Services but not limited to:

- i. Vulnerability Assessment
- ii. Penetration Testing
- iii. Source Code Audit
- iv. Application /web security Audit
- v. Ethical Hacking
- vi. Forensic Audit
- vii. Configuration Review Audit
- viii. BCP / DR Preparedness / Readiness Audit
- ix. Network Audit including Virtualization, wireless & Mobile Technologies
- x. Database Audits / Migration Audit
- xi. Switch/ATM Terminals Audit/ ATM Network Audit
- xii. Comprehensive IT and IS Audits including Outsourced Activities and Third Party Audits.
- xiii. Comprehensive cyber Security Audit
- xiv. Any other activity/audit as decided by the Bank during the empanelment period.

We agree to abide by and fulfill all the terms and conditions of the EOI and in default thereof, to forfeit and pay to you or your successors, or authorized nominees such sums of money as are stipulated in the conditions contained in EOI.



We enclose a list of Public Sector/ Private Sector Banks in India (giving their full addresses of IT Department) to whom we have provided services of IT/Cyber Security Auditors & Forensic Analyst.

We will not sub-contract works to any contractor from a country which shares a land border with India unless such contractor is registered with the Competent Authority (refer: F/No.6/18/2019-PPD dated 23/07/2020 of Public Procurement Division, Department of Expenditure, Ministry of Finance). We further understand that any false declaration and non-compliance of the above would be a ground for immediate termination of the contract and further legal action in accordance with the laws.

All the details mentioned by us are true and correct and if Bank observes any misrepresentation of facts on any matter at any stage, Bank has the absolute right to reject the proposal and disqualify us from the selection process. Bank reserves the right to verify /evaluate the claims made by the Bidder independently.

We confirm that we have noted the contents of the RFP and have ensured that there is no deviation in filing our response to the RFP and that the Bank will have the right to disqualify us in case of any such deviations.

We hereby undertake that we have not been blacklisted/debarred by any Scheduled Commercial Banks/Public Sector Undertakings/Government Entities in India as on date.

We hereby declare that the information submitted above is true to the best of our knowledge. We understand that in case any discrepancy is found in the information submitted by us, our Bid is liable to be rejected.

Date :  
Place :

Signature with seal:  
Name :  
Designation :

## Annexure 3 Eligibility Criteria Declaration

(Eligibility Criteria Declaration has to be submitted in Company's letter head)

Sub: Empanelment of additional IT/Cyber Security Auditors & Forensic Analyst.

Ref: EOI 02/2021-22 dated 21/06/2021

We have carefully gone through the contents of the above referred EOI and furnish the following information relating to Eligibility Criteria.

Sl. No.	Financial and other Requirements to be met by the Bidder.	Documents to be submitted along with the EOI.
1.	The Bidder should be a partnership firm registered under LLP Act, 2008/Indian Partnership Act, 1932 or Company in India as per Indian Companies Act, 1956 or Indian Companies Act, 2013 and should have been in operation for last five years as on EOI date	Copy of Certificate of LLP registration. (OR) Copy of Certificate of Incorporation and Certificate of Commencement of business in case of Public Limited Company (OR) Certificate of Incorporation in case of Private Limited Company, issued by the Registrar of Companies.
2.	During last 5 years the bidder should have successfully carried out the work of Information security/Cyber security audit in atleast 2 scheduled commercial banks.	Bidder has to submit copy of Purchase order and completion certificate.
3.	The bidder should be a CERT-In Empanelled vendor as on date of submission of EOI and should be active throughout the engagement period.	Copy of List of Empanelled Information Security Audit Organizations by CERT-IN containing the name of the bidder.
4.	The bidder should have minimum annual turnover of Rs.2 Crores during the last three (3) financial years (i.e. 2017-18, 2018-19 and 2019-20). This must be the individual company turnover and not of any group of companies.	Bidder has to submit Balance Sheet for last 3 Years i.e. 2017-18, 2018-19 and 2019-20 (audited/provisional). AND Bidder must produce a certificate from the Company's Chartered Accountant to this effect. The documents certified by Chartered Accountants should mandatorily contain Unique Document Identification Number.
5.	The bidder should not be owned by or controlled by any director/employee/ex-employee of the Bank or by any of their relatives.	Bidder has to submit an undertaking to this effect.
6.	The Bidder should have Positive Net Worth as on 31/03/2020.	The Bidder must produce a certificate from the Company's Chartered Accountant to this effect. The documents certified by Chartered

		Accountants should mandatorily contain Unique Document Identification Number.
7.	Bank shall not entertain Expression of Interest/ Proposals from Organizations or their subsidiaries who have supplied systems, system development, and maintenance and/ or integration related to IT or networking services or have rendered such services during the preceding 24 months to the Bank.	Self-declaration confirming the criteria.
8.	The bidder should have minimum 25 qualified professional with market standard certification (CISA, DISA, CISM, CISSP, CEH, ISO 27001, etc.) **Only those employees will be considered who is involved in Operation work. Those employees working in Management or Administrative office will not be counted.	HR Certificate (along with list and certifications of the employees and self-declaration forms of employees on their experience and qualifications/certifications)

**9. Service-wise eligibility is given as below:**

Sl. No	Service	Required Certificate (in addition to the certification put in eligibility criteria)	Minimum Experience Required	Document to be provided
9.1.	Vulnerability Assessment	CISA, CISSP, CEH The personnel conducting the subject audit should have at least three years of experience.	Empanelled bidder would have carried out such activities/audit at least in two different organization, one of which should be in BFSI sector in the last three years from the date of EOI.	Relevant Credential letters/ Certificate/Agreement/Purchase Order/s to that effect.
9.2.	Penetration Testing	CISA, CISSP, CEH The personnel conducting the subject audit should have at least three years of experience.	Empanelled bidder would have carried out such activities/audit at least in two different organization, one of which should be in BFSI sector in the last three years from the date of EOI.	
9.3.	Source Code Audit	CISA, CISSP, CSSLP, CPT The personnel conducting the subject audit should have at least three years of experience.	Empanelled bidder would have carried out such activities/audit at least in two different organization, one of which should be in BFSI sector in the last three years from the date of EOI.	
9.4.	Application /web security Audit	CISA, CISSP, CSSLP, CPT The personnel conducting the subject audit should have at least three years of	Empanelled bidder would have carried out such activities/audit at least in two different organization, one of which should be in BFSI sector in the last three	

		experience.	years from the date of EOI.
9.5.	Ethical Hacking	CISA, CISSP, CEH The personnel conducting the subject audit should have atleast three years of experience.	Empanelled bidder would have carried out such activities/audit at least in two different organization, one of which should be in BFSI sector in the last three years from the date of EOI.
9.6.	Forensic Audit	CHFI The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in two different organization, one of which should be in BFSI sector in the last three years from the date of EOI.
9.7.	Configuration Review Audit	CISA, CISM The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.
9.8.	BCP / DR Preparedness / Readiness Audit	CISA, CISM The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.
9.9.	Network Audit including Virtualization, wireless & Mobile Technologies	CCNP-Security, CEH The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.
9.10.	Database Audits / Migration Audit.	CISA, CISM The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.
9.11.	Switch/ATM Terminals Audit/ ATM Network Audit.	PCIDSS The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.

9.12.	Comprehensive IT and IS Audits including Outsourced Activities and Third Party Audits.	CISA, CISM, ISO 27001 LA/L1, GIAC, CRISC, DISA  The personnel conducting the subject audit should have atleast two years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.
9.13.	Comprehensive cyber Security Audit	CISA, CISM, CISSP, ISO 27001 LA/L1, GIAC, CRISC, DISA  The personnel conducting the subject audit should have atleast three years of experience.	Empanelled bidder would have carried out such activities/audit at least in one BFSI sector in the last three years from the date of EOI.

We confirm that the information furnished above is true and correct. We also note that, if there are any inconsistencies in the information furnished above, the bid is liable for rejection.

Date:

Signature with seal

Place:

Name :

Designation :

## Annexure 4

### Applicant's profile

(Bidder's Profile has to be submitted in company's letter head)

Sub: Empanelment of additional IT/Cyber Security Auditors & Forensic Analyst.

Ref: EOI 02/2021-22 dated 21/06/2021

Sl. No.	Particulars	Details
1)	Name of the Bidder	
2)	Constitution	
3)	Date of Establishment/ Incorporation	
4)	Whether in technical collaboration with Foreign Company? If so give details	
5)	Number of Years in the Business	
6)	Number of years of experience in IT/Cyber Security Audit.	
7)	Address for Correspondence: Registered Office: Corporate Office:	
8)	Single Point of contact for this EOI and upcoming RFP Name: Designation: Mobile No.: Landline No.: Fax: Email-ID (any changes in the above should be informed in advance to Bank)	
9)	Domestic Customer Base (Number of Clients for Where Consultancy Service have been provided in India)	
10)	<u>Details of Service Net Work</u> Bengaluru: Mumbai:	
11)	PAN number GSTIN <u>Beneficiary Bank Details</u> Beneficiary Name Beneficiary Account Number	

Type of Account (OD/OCC etc.) IFSC Name of the Bank and Branch address	
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Wherever applicable submit documentary evidence to facilitate verification.

We hereby declare that the information submitted above is true to the best of our knowledge. We understand that in case any discrepancy is found in the information submitted by us our Bid is liable to be rejected.

Date :  
Place :

Signature with seal:  
Name :  
Designation :

Authorization Letter Format

(Authorization Letter Format has to submitted in Company's Letter Head)

The Deputy General Manager  
Canara Bank,  
Procurement Group  
DIT Wing  
Naveen complex, 14 MG Road  
Bengaluru - 560 001

Date: \_\_\_\_\_

Dear Sir,

**Sub: Empanelment of additional IT/Cyber Security Auditors & Forensic Analyst.**

**Ref: EOI 02/2021-22 dated 21/06/2021**

This has reference to your above EOI for Empanelment of Security Auditors for Information Technology Security in your Bank.

Mr. / Miss/Mrs. \_\_\_\_\_ is hereby authorized to attend the bid opening of the above EOI \_\_\_\_\_ DT: \_\_\_\_\_ on \_\_\_\_\_ on behalf of our organization.

The specimen signature is attested below:

\_\_\_\_\_  
Specimen Signature of Representative

\_\_\_\_\_  
Signature of Authorizing Authority

\_\_\_\_\_  
Name & Designation of Authorizing Authority

\_\_\_\_\_  
Place:

